

Thailand AERA Quarterly Activities Report #10

January-March, 2002

April 30, 2002

Accelerating Economic Recovery in Asia (AERA)

A US Government Funded Program

through the US Agency for International Development (USAID)

Compiled by Paul Wedel KIASIA Coordinator for AERA

Contents

<u>Subject</u>	<u>Page</u>
Executive Summary	2-3
Business Advisory Center	4-9
Labor Standards Development Project	9
Business Support Organizations Partnership Program	10-12
Bank Training Program	13-14
Education	15
Border Action Against Malaria	16-19
Promoting Good Governance Project (The Asia Foundation)	20-25
Appendix A: BSOP – Project Matrix	26-38
Appendix B: BAAM – Project Matrix	39-43

Cooperative Agreement #442-A-00-99-00072-00 ANE to Kenan Foundation Asia

Executive Summary

Business Advisory Center

The BAC began coordinating its efforts with the Thai government's Invigorating Thai Business project managed by the Department of Industrial Promotion (DIP). Like the BAC, the ITB project was created to provide technical and management consulting services to SMEs. The BAC also agreed to cooperate with a Thai bank in helping SMEs access bank loans. After a lull due to the terrorist attack on September 11, the pace of consulting projects using American volunteers picked up sharply. Two new consulting assignments were begun by the Thai Volunteer Consulting Service. The BAC launched an on-line management training service for SMEs that provides web-based instruction in the development of business plans. The BAC Competitiveness team focused on helping the Thai multimedia and computer graphics industry improve its ability to compete by meeting international software quality standards and by setting up a Thai Software Quality Standard as a stepping stone to international standards.

Labor Standards Development

The Labor Standards Advisory Service held a series of training projects on labor standards. The focus of our work has been to advise Thai manufacturers, government officers, labor groups and NGOs on the implementation of voluntary labor standards. Thailand's first forum on Corporate Social Responsibility: International Standards was held as part of our information program.

The Business Support Organization Partnerships

The BSOP won approval for four new large grant projects totaling US \$104,610. The projects supported:

- the Chartered Financial Analyst Designation of the Thai Securities Analysts
- a partnership between the Thai Logistics And Production Society (TLAPS) and the American Production and Inventory Control Society (APICS) to introduce a pilot APICS training and certification program
- a joint effort by the Institute of Internal Auditors in the USA and the Institute of Internal Auditors of Thailand, to provide a Quality Assurance Review for Krung Thai Bank
- a partnership between King Mongkut's University of Technology Thonburi and with Purdue University to establish a USDA-Approved Better Process Control School

In addition, two small grant projects were also approved during this quarter. Totally, twenty-five large and small partnership projects have been approved. Four large-grant projects were successfully completed and nineteen partnership projects are underway.

Bank Training Program

The Bank Training Program finished needs assessments and work scopes for two new programs that were approved by the AERA Working Group. These included a distance learning project for human resource development of government banks, and a self-study training project on fundamentals of banking. Two other projects were successfully completed:

debt restructuring training for BankThai and risk management training for executives of Siam Commercial Bank. Three other projects were under way and two were in the process of vendor selection.

Education

A project to assist the establishment of the first community colleges in Thailand was approved by the AERA working group. The project will enable a consortium of US community colleges to provide high-level advice and training to the administrators of the planned Thai colleges. A proposal was developed to assist the development of an English language center at Mae Fah Luang University. Teachers from the 88 regional English language centers met for training in the materials and curriculum developed for the English Through Entertainment project.

Border Action Against Malaria

During this quarter, major activities included:

- Implementation of *in vitro* sensitivity monitoring for the currently used front line antimalarial drugs in 9 border provinces under the national malaria sentinel surveillance drug resistance network initiative.
- Students in 25 schools in Tak and Mae Hong Son Provinces presented results of in-depth study of community malaria epidemiology to community leaders under the Partnerships for a School-based Approach to Community Action Against Malaria subproject. Teachers from the schools took part in workshops on prevention and treatment-seeking behavior of the community.
- Training workshops for village health/malaria volunteers and sub-district council members on in-depth community malaria situation analysis; prevention, control and treatment of malaria; and coordinating planning for community action against malaria.

The Asia Foundation Promoting Good Governance Project

During this reporting period, the first phase of the Promoting Good Governance Project came to a formal conclusion and additional funds in the amount of \$400K were awarded by USAID to begin a second phase that will extend the duration of the project through May 2003.

Business Advisory Center

Marketing Activities

The BAC has followed closely the government's economic stimulus package, especially the Invigorating Thai Business project managed by the Department of Industrial Promotion (DIP), Ministry of Industry. The Baht 2 billion-budgeted project was created to provide technical and management consulting services to 2,600 Thai manufacturing and servicing SMEs all over Thailand. The BAC approached the DIP, the Federation of Thai Industry, the Thailand Automotive Institute and the Electrical and Electronics Institute, 3 of the 8 project partners to find possibility for sub-contracting a number of projects. All parties were positive and agreed that the BAC's experience and qualifications fit well with their project and would allocate a good number of SMEs to us once the project went ahead in April.

A Memorandum of Understanding was signed with the Bank of Ayudhaya (BAY) on January 16th to provide a framework for cooperation between the 2 organizations. The BAC will assist BAY's SME clients with business consulting services and referring clients to the BAY's SME loan department. Potential workshops/seminar cooperation was planned for the coming months.

Seminars/Workshops/Presentations

"Innovation Show 2002" and "Agricultural Fair" at Khon Kaen University

The BAC participated in the Innovation Show from February 24th to 27th in order to promote our services to Northeastern Thai SMEs. On February 25th, Dr. Saisawan, Khun Sorasak and Khun Wichai, the BAC Manager and consultants respectively, conducted a one day seminar on "Business Planning" for Thai SMEs. Roughly 100 people attended this full day workshop. BAC Consultants Khun Thana and Lee gave a 20 minute radio interview on FM 103 mhz promoting the BAC's services and discussed current topics related to assisting Thai SMEs. The event in Khon Kaen was jointly sponsored by the Khon Kaen University and the Bank of Ayudhaya and was the first cooperating activity between the BAC and the BAY.

Projects

Quite a number of American Volunteer Experts who chose to postpone their projects after the September 11 attack in the US finally decided to proceed with their commitment after the New Year. The first quarter of 2002 thus became busy months for both the VEs and BAC consultants alike.

Volunteer Expert Jeffrey Silberman from ACDI/VOCA was on the ground during January 5th to 19th to assist a textile company in Nakornpathom province. Mr. Silberman worked very intensively with the company to set up an attractive website and marketing strategy for attracting more customers and suppliers.

In mid-January VE Sam Porcello from ACDI/VOCA arrived to assist a snack products company in Bangkok in developing a better snack peanut product. VE Porcello worked with the company until early February to develop a new flavor for its peanut product.

VE Stanley Zielinski from IESC started work on January 12th to assist a ceramic bathroom fixtures manufacturer in Khon Kaen province in modifying their production line to manufacture modern style toilets, as opposed to the squat type they are now producing. VE Zielinski worked with the company until February 23rd and was successful in determining appropriate clay mix and necessary modifications to the production process and machinery to achieve the project's objectives. He additionally provided many useful advice in the set up of a ceramic test laboratory and taught the company sophisticated techniques for ceramic production.

Mr. Henry Bakemayer, a professional consultant who was recruited by Kenan Institute Washington, accepted the BAC's offer to work as a volunteer consultant during January 15th and 31st in Bangkok. He worked very closely and intensively with a lead die casting company to analyze their production line and recommend improvement to their mold design and production process in order to have both the design and the process simplified and thus require less skillful labor. He also additionally utilized his expertise in other materials to prepare the company to move away from lead as the raw material, which is being prohibited as an environmentally hazardous material, into an alternative material.

VE Nathan Sakolsky from IESC arrived on January 19th to assist a label making and packaging printing company develop a competitive strategy to prepare them toward long-term competitiveness. He spent three weeks with the company and successfully completed the assignment. The client was determined to follow his recommendations and the new strategic focus.

VE Roland Ares of IESC was back to Thailand again on January 23rd this time for 140 days, after accomplishing a very successful 7-month project with one of Thailand's top five producers of heating and cooling coils. As the client has greatly benefited from the first project, they requested the BAC to bring back VE Ares to consult in the following areas:-

- New equipment modification and testing to produce prototype of a new refrigeration product, specifically in fin design, to enter new refrigeration markets; for example, supermarkets,
- Design and construction of refrigeration testing room and development of testing procedures, and
- Business development with new key clients in order to secure orders for new refrigeration product.

VE Steve Dahl from ACDI/VOCA arrived on January 25th to work with a Thai franchise restaurant until February 16th to assist them in developing a profitable franchise system. This is a follow up to an earlier project when Khun Chai Jarungtanapibarn, a Thai volunteer expert in restaurant management, assisted the company from formulating competitive strategy to making improvement recommendations on kitchen operations, marketing and human resource management.

After VE Dahl's assistance, the management of the company had better understanding about

franchise management in many respects, such as professional franchise agreement, manual for employees, branch operation, etc.

Mr. Ronald Gauger, another IESC VE, who has extensive experience in the printing industry arrived on January 26th to assist one of the biggest paper laminating companies in Thailand improve their laminating process, de-bottleneck the production process, and develop a systematic production planning. VE Guager worked with the company for 30 days to successfully complete the assignment.

Mr. Victor Dilloway from CDC started a 60 day-long project on January 26th to assist a 38 MW bio-mass small power plant (SPP) in Chacheongsao province develop a preventive maintenance system and recommend steps to improve the plant's efficiency. After the highly successful assistance, the power plant requested for another project to assist in human resource area.

Mr. Stan Magee, an expert in software and IT consulting, worked with a software association during January 26th to February 6th to assist them in setting up a, somewhat misleading named, "Thai Quality Standard (TQS)" operating procedure for the Thai software industry as an interim, intermediate step for Thai software firms to achieve before moving up to CMM, ISO or IEEE. The BAC and the competitiveness team worked closely on this project in their quest to develop a Thai multi-media cluster. Being a former IESC volunteer, Mr. Magee was willing to work as a volunteer on this project to help Thailand develop TQS.

Mr. Peter Roman, an IESC VE, started assisting a garment manufacturer in Bangkok in productivity improvement on January 26th. Mr. Roman helped design a new layout of the factory for the company and set up a pilot production line to test the productivity. He has more than 35 years experience in the garment industry. The project, which was originally planned for 5 weeks, was extended by another week to complete the pilot production line testing and ensure success in productivity improvement.

Mr. Norman Donatt, a VE in precast and prestressed concrete from IESC, assisted a precast concrete products company in Udonrtani during February 4th to March 6th. Mr. Donatt has extensive experience in the precast and prestressed products industry, including owning a consulting company that provides services in this field. He assisted the company in improving precast operation and product design, developing new products, increasing safety standards, and implementing quality control system.

VEs John and Vallerie Curry from IESC completed a 14-day project in February for a long-stay resort in Saraburi. The company wanted to transform their property in Saraburi into a long-stay resort for foreign elders. To do so successfully, they solicited the assistance of the volunteer expert couple to develop a marketing strategy for them. The Curry has strong backgrounds in hotel and resort marketing, planning, ownership, and management. Both of them had experiences with Walt Disney Hotel in Orlando, Florida. Currently, they own a hotel & resort consulting company.

Mr. John Warner, an ACDI/VOCA VE, was in Bangkok for a 15-day marketing project for a gazebo kit manufacturer in February. Mr. Warner provided some useful advice for the company to penetrate the US gazebo market, such as US

consumer behavior, proper production processes, suitable models for the US market and input for a marketing plan and a business plan.

Mr. Ray Staib, an IESC VE, returned to Thailand on February 14th for the third phase of a Research and Development Project for a lighting fixtures manufacturer in Chonburi province. The project aimed at developing new lighting fixtures and metal cabinet/housing fixtures for the electronics industry. In this phase of the project, Mr. Staib inspected the metal molding process and assisted them in setting up the assembly line. He successfully completed the assignment on March 14th.

Mr. Emil Paul Janssen from ACDI/VOCA assisted a cosmetics and household products producer on productivity improvement for the duration of 6 weeks during February 18th to March 31st. The company aimed to increase productivity and to benchmark production best practices with other similar manufacturers. Without sufficient collected data to do necessary analysis, VE Janssen provided guidance for necessary data to be collected and analyzed and recommended improvement process for the company to perform on their own. He will continue to offer advice via e-mail when required. He was able to assist with the implementation of 2 items among the 10 items in his recommendation list. He also recommended general improvement of the factory surrounding. His recommendation is expected to help the company substantially improve their production capacity with minimum investment. Mr Janssen, is an experienced expert in the chemical industry. He worked for Monsanto Chemical company for 34 years. His last position there was as the Manufacturing Director in Tokyo, Japan. This productivity improvement project followed the first project about a year ago when the company was assisted by Dr. Roger Reavell from CDC on Research and Development.

Mr. John Brissenden from CDC, who completed an assistant project for a hotel in Saraburi province in December 2001, made a cash contribution of \$1,000, a sizable amount in Saraburi, to the hotel to help them realize their plan. VE Brissenden utilized his expertise and extensive experience in hotel management, including owning and operating Sorensen's resorts in California and Mexico and owning Hope Valley Resort and Campground, to advise the hotel in strategic marketing and recommend improvement to the facility to support the marketing plan. The personal contribution made by VE Brissenden clearly demonstrated his commitment to the success of his client, his volunteerism and intercultural exchange.

Mr. David Layne, a professional consultant in Real Estate, volunteered to assist a real estate appraisal firm during March 9th to April 6th. VE Layne conducted a real estate appraisal and property valuation course for the company's staff to teach them valuation principles and procedures and to enable them to properly conduct property valuation. He presented Cost Approach, Sales Comparison Approach and Income Capitalization Approach, Uniform Standards and Professional Appraisal Practices, Valuation of Environmentally Affected Properties and emerging issues in the US and Thai real estate markets. VE Layne's 4-week assistance was expected to be able to develop the company's capability and will, in turn, help improve property valuation standard and practices for the Thai real estate industry.

Mr. Lin Brown III from ACDI/VOCA successfully completed an assignment with a manufacturer of wooden toy products. Mr. Brown assisted the company in improving operations efficiency during March 16th – 29th. VE Brown was able to help the company

reorganize the production area to improve efficiencies during this Phase I of the project. The company is considering Phase II as a follow up to this project to improve the inventory and assembly areas.

VE Harlan Bentzinger from CDC assisted a Thai tangerine orchard in Saraburi province to improve their "Puangthong" variety of tangerine during March 16th to April 13th. VE Bentzinger advised them throughout the operation process, from improving nutrition, taste and PH balance of the fruits, color of the peel, reducing wind damage on the fruits, improving irrigation system, to general management of the orchard and marketing of the fruits.

During March 18th to 20th, Dr. Oranuch Soongsawang, a Thai VE, visited Surat Thani province with Khun Orawan Chandrangsue, a BAC consultant, to provide the first stage of consulting to a local retail store. Dr. Oranuch will work with this retailer to select appropriate software(s) for the inventory control system of their stores. She will additionally help audit their current accounting system and recommend any improvement that may be necessary.

Khun Narong Suttipongpitan, a Thai VE with hotel and tourism expertise, started an assistant project with a 3-star hotel in Maehong Sorn province on March 27th. Khun Narong will work with the hotel to improve its current condition and atmosphere and to build up a marketing network with travel agencies.

Kenan OnLine

The BAC, Thailand Graduate Institute of Science and Technology (TGIST), an institute established by the National Science and Technology Development Agency (NSTDA), and The University of Thai Chamber of Commerce (UTCC) entered into an agreement on December 18, 2001 to develop Kenan OnLine. The product is intended to be a web-based distance-learning program to teach SME's owners to write business plans for seeking financial support or strengthening their businesses.

The 3 organizations will combine their specialization into services beneficial to Thai SMEs. The BAC will be responsible for providing case studies, short stories and supplementary contents. The UTCC will be responsible for preparing course outlines and contents, providing a team of instructors for administration of academic aspects of the web-based course, and also various course texts and reference books. The TGIST will be developing and designing the web-based course and providing technical/intellectual functions and education media services to the UTCC for tutorials and academic counseling. The on-line business plan writing course is scheduled to be ready to accept the first student in March.

Competitiveness

The competitiveness team started the New Year with a flurry of activities for the Multimedia industry, which was the most promising cluster among a number of prospects the team approached. Mr. Harold Henthorn, the team's lead consultant met with ItonTime in Los Angeles in January to discuss future PR campaign to build Thai brand awareness in the US market. The firm did show interest and visited Bangkok in February to scout the Thai multimedia industry and managed to provide a couple of companies with small trial assignments.

Harold also met with the University of Southern California's SEI/CMM committee during the same trip to discuss the possibility of sending certified trainers to Thailand. A qualified trainer was recommended. He thus developed a workshop /consulting project for implementing Software Engineering Procedures with the cooperation of the Software Park. The US volunteer trainer would help train Thai experts and Software Park staff on the procedures as implemented in the US. A combination of workshops with templates and on-site consultation would be provided to 3-5 qualified companies in April. Then the project will be duplicated in Phuket and Vietnam using the same methodology. This project was aimed at CMM whereas TQS project focused on less developed companies.

International Presentation

Following the recommendation of VE Jeffrey Silberman of the Fashion Institute of Technology in New York and ACDI/VOCA, who came to assist a textile company in January, Mr. Brian August, a BAC consultant with supply chain management and procurement expertise, presented a paper at the Textile Institute 82nd World Conference in Cairo, Egypt during March 22nd – 30th. The paper entitled "Aligning the Supply Chain to New Market Trends" was well received, with many inquiries and offers to stay in touch with the possibility of research cooperation. Mr. August found Egypt and Thailand to be very similar in terms of their industry positions. However, Egypt is making great efforts to develop its brand for Egyptian Cotton. This could represent a model that Thailand can follow for its silk industry, etc.

Labor Standards Development

The Labor Standards Advisory Service initiated a series of training projects in the first quarter of this year. The focus of our work has been to advise Thai manufacturers, government officers, labor groups and NGOs on the implementation of voluntary labor standards. In March, KIASIA hosted Thailand's first forum on Corporate Social Responsibility: International Standards as part of our information dissemination program. In the next quarter, KIASIA plans to develop a series of training and consulting materials to assist SME suppliers to major U.S. brands.

On April 24, the Labor Standards Advisory Service was invited as a guest speaker at the Ministry of Labour and Social Welfare's Annual Conference on International Labor Standards and Workplace Health and Safety. We participated in a panel discussion on "The Importance of International Labor Standards" and conducted a presentation on difference between the WTO, labor laws and voluntary codes of conduct. We distributed a survey to better analyze the needs of SME factories concerned with compliance measures.

Business Support Organizations Program

The BSOP aims to develop mutually beneficial, sustainable partnerships between US and Thai business support organizations in order to strengthen the regulatory and competitive environment, business standards, and good governance practices for the Thai private sector.

The BSOP has been concentrating on projects which develop and promote good governance, transparency, business structural reform, ethics, deepening of financial reform, and international competitiveness.

Projects Approved for Funding

Four new large grant projects were approved by the KIA Asia Working Group Committee for total amount of US \$104,610. In addition, two small grant projects were also approved during this quarter. Totally, twenty-five large and small partnership projects have been approved. Four large-grant projects were successfully completed and nineteen partnership projects are underway.

New Large-Grant Projects Approved

*1. Support programs related to the Chartered Financial Analyst Designation
(US \$20,081)*

The Security Analysts Association (SAA) receives technical assistance provided by its US partners, Association for Investment Management and Research (AIMR), the owner of the standards of Practice Handbook which SAA's Handbook is based on, and Schweser, one of the best-known vendors of review programs for Chartered Financial Analysts (CFA) candidates. In 2002, SAA is to carry out a series of training programs, seminars, and workshops for Thai securities and financial analysts, and to conduct training for financial analysts who are pursuing the CFA Designation in Thailand.

The project is divided into two sessions. In the first session the AIMR representatives will hold a workshop on Global Investment Performance Standards (GIPS) in Bangkok. In the second session, a 10-day review session in tutorial classes will be delivered to prepare CISA/CFA candidates for level 1 and level 2, year 2002 exam, using Schweser materials. SAA has received Schweser's permission to reproduce their notes with large discounts.

2. Establishing TLAPS Linkages with APICS (US \$24,529)

The Thai Logistics And Production Society (TLAPS) and American Production and Inventory Control Society (APICS) are to introduce a pilot APICS training and certification program in Thailand. APICS is internationally recognized as one of the key industry-standards organizations for the logistics, manufacturing, and supply chain management industries. TLAPS is partnering with APICS to offer training and testing for APICS's module one, 'Basics of Supply Chain Management', as part of a five module certification program. The objectives of offering APICS certification are to enhance Thai manufacturing professionals' expertise and to introduce international standards and best practices to Thailand.

3. Internal Audit Quality Assessment – Professional Practices and Training on Quality Assurance Review Program (US \$40,000)

The Institute of Internal Auditors in the USA (IIA-US), in partnership with IIA-Thailand, is rendering

its professional auditing services on Quality Assurance Review (QAR) for the Krung Thai Bank Public Co., Ltd. (KTB). The QAR services will provide KTB's internal audit staff with advice on how to better conduct auditing risk assessment and to implement internal control. In addition, IIA-US is to provide capacity building for IIA-Thailand's staff.

4. *USFDA-Approved Better Process Control School (BPCS) in Thailand Year II (US \$20,000)*

King Mongkut's University of Technology Thonburi (KMUTT), with technical assistance from Purdue University, is to assist Thai low-acid and acidified canned food industries to achieve international food safety and public health standards through technical a training program that meets US-FDA and USDA regulations. BPCS accreditation gained can lead to increased exports of Thai canned food products to the US and other developed markets.

New Small-Grant Projects Approved

1. *Secured Transaction Law Development and Implementation*

BSOP has provided financial support for the Office of the Judiciary to send Dr. Thammanoon Phitayaporn to attend the International Colloquium on Secured Transactions to share Thailand's views on Secured Transactions Law between March 20 – 22, 2002 in Vienna, Austria. The colloquium aims to discuss the first preliminary draft Legislative Guide on Secured Transactions prepared by the Secretariat of the United Nations Commission on International Trade Law (UNCITRAL).

2. *Bankruptcy Law Reform Project*

BSOP has provided financial support to Mr. George M. Kelakos, Co-Chair of the International Committee, American Bankruptcy Institute (ABI), to make his preliminary trip to Bangkok to discuss ABI's technical assistance in the issues related to bankruptcy law reform with the Council of State (COS).

Ongoing Activities

1. *Community Leadership Training Program*

the Institute for Management Education for Thailand Foundation (IMET) delivered a pilot training program on community leadership between February 21 – 23 in Chiang Mai. Seventeen representatives, including presidents and vice presidents from nine provincial offices of the Thai Chamber of Commerce (TCC) and the Federation of Thai Industries (FTI) in northern Thailand participated in the training. This was the first pilot training program to introduce the concept of community leadership and to discuss ways that business leaders can make a positive impact on social and economic development. IMET instructors led the training. The instructors were trained by experts from the US Community Leadership Association (CLA) in November 2001 with BSOP support.

2. *Mediation Center for Financial Disputes Program*

Five US experts from the American Bankruptcy Institute (ABI), led by Mr. George Kelakos, ABI International Chair, and Mr. Jacob Esher, Senior Mediator, JAMS, conducted a three-day train-the-trainers program on mediation organized by the Dispute

Resolution Office (DRO) of the Office of the Judiciary (OJ) from January 14 – 16, 2002 in Bangkok. Thirty-two of the trained mediators and financial advisors from the initial mediation training course in September 2001 were trained to become the trainers for the Mediation Center for Financial Disputes under the DRO. In addition, twenty-one observers, including judges and senior officials, participated in the training.

In addition to the mediation training, the five ABI experts delivered a half-day training program on mediation for fifty bankers from Thai and foreign banks in Thailand in cooperation with the Thai Bankers Association (TBA) at the Thai Military Bank. The mediation will help the resolution of the large number of NPL disputes in the courts. The support of bankers is necessary if facilitative mediation is to take root in Thailand.

3. *Upgrading the Capabilities of Post and Telegraph Department's staff for Nantional Telecommunications Commission's Secretariat Office Year I*

A three-day Telecom Regulator Training Program was held between January 28-30 at the Post and Telegraph Department's (PTD) office. The program, developed jointly by the US Federal Communications Commission and the PTD, was opened by Ms. Auraphan Suwanrat, PTD Director-General, and Ms. Karen Ware, Commercial Attached, US Embassy in Thailand. Technical presentations were given to 53 participants from PTD and private telecommunications operators by Ms. Irene Wu, US FCC Regional Specialist for Asia, and US and Thai experts including Mr. William Garrison, Dr. Martin Taschdjian, Mr. Kevin Hartman, Dr. Somkiat Tangkitvanich and Dr. Duenden Nikomborirak.

The training program focused on WTO implementation and framework, convergence, interconnection, information gathering, consumer protection, transparency, and regulatory structures and functions. The program aims to upgrade the capabilities of PTD's staff readiness to act as the secretariat office of the National Telecommunications Commission.

4. *Judicial Reform Project*

Ms. Mira Gur-Arie, Senior Judicial Education Attorney of the Federal Judicial Center (FJC), traveled to Bangkok from January 15 – 22 to hold meetings with Thai judges of the Office of the Judiciary and other key stakeholders to discuss the next steps of the Judicial Reform Project under BSOP support. During her trip to Bangkok, Ms. Gur-Arie also visited the Central Intellectual Property and International Trade Court to explore how the testimony recording technology system works in the Thai court.

Please see other ongoing activities in BSOP matrix.

Bank Training Program

Two new BTP projects approved for funding

On March 27, 2002, the AERA Working Group Committee approved two projects to be funded under the Bank Training Program. These are a distance learning project for human resource development of government banks, and a self-study training project on fundamentals of banking.

The distance training project for government banks aims to support training for banking staff in four government banks led by the Bank for Agriculture and Agriculture Cooperative (BAAC), and the other three participating banks: Krung Thai Bank (KTB), Government Savings Bank (GSB), and Government Housing Bank (GHB). Training courses will be conducted through a distance learning approach and transmitted to branches in all regions of the country. Program delivery is via interactive satellite broadcasts to sites over a private network to be administered by the Thailand Training Network (TTN). Currently, BAAC has 73 satellite training centers and TTN has 24 sites throughout the country. In addition, there are 6 training courses available on risk management, customer relationship management, banking law, internal auditing, assets and liabilities management, and economics for bankers; approximately 2,000 participants are expected to join in each training course.

A self-study training project on fundamentals of banking was proposed by the Thai Institute of Banking and Finance Association (TIBFA). The project will develop self-study kits, including textbooks, handouts, video and cassette tapes, by employing a pool of lecturers and experts in their respective fields. The target group of this self-study training is working professionals in the banking and financial institutions especially in outlying branches. The training topics will include banking operations, accounting and finance, banking law, economics, and organizational behavior.

Debt restructuring training for BankThai completed

The Debt Restructuring training for BankThai has been completed. The 8 training sessions were attended by 185 BankThai executives and managers - vice presidents, first vice presidents, assistant vice presidents, senior managers, managers, and assistant managers. The 5-day course in each session delivered the practical application of tools and methodologies through case studies, exercises, and negotiation role-plays. The outcomes successfully met the Bank's expectations; furthermore, the evaluation by the trainees indicated that Deloitte Touche Tohmatsu Corporate Restructuring provided good quality workshops and instruction.

Risk management training for SCB executives completed

The three training sessions on Senior Executive Risk Management Training for SCB conducted by PricewaterhouseCoopers were successfully completed. There were a total 181 of participants, including the board of directors, the president, executive vice presidents, and senior vice presidents attending the training program. The next step will be the assessment of the Bank's current risk management practices which is scheduled for May-June 2002 to consider SCB's existing risk management framework and make recommendations for improvement.

Ongoing bank training projects

Three BTP projects, arising from second year granted, which are still ongoing are as follows

1) Risk Management Implementation

The training program is attended by 1,050 BAY banking staff from risk management function, credit department, and internal audit, and it is currently being conducted by PricewaterhouseCoopers Risk Management Services Ltd.

2) Credit Risk Management

The training assists top and middle management of KTB to improve the efficiency of the bank's risk management especially in dealing with the credit risk management system. Approximate 200 participants attend the training program including bank executives, middle management and the bank trainers. The program is delivered by Deloitte Touche Tohmatsu Emerging Markets.

3) Control Self-Assessment

The training program is aimed to enlighten the TMB bank staff to be CSA facilitators, so that they will further develop and implement CSA to other bank's units after the training program is completed. A manual on CSA, three training courses for 165 banking staff from management and audit line, and a pilot project for 2 selected units will be conducted by PricewaterhouseCoopers Risk Management Services Ltd.

The BAY and TMB projects are expected to be completed by the third quarter of this year.

TFB and BMB training projects during vender selection stage

The two projects for the third year BTP program, Small Corporate Skill Improvement Training for Thai Farmers Bank (TFB) and Risk Management for Financial Institutions Training for Bangkok Metropolitan Bank (BMB), are currently in vendor selection stage. The notification of the successful bidders will be made by the end of May 2002.

Education Program

English through Entertainment

The orientation workshop was organized for 88 ERIC managers throughout Thailand in Chiang Mai on 11-12 March 2002. The ERIC managers were very impressed on the new English teaching approach through the inclusive teaching package composed of lesson plan, tape cassette and videocassette. All ERIC centers will distribute the packages to schools under their districts around May – June 2002.

The working group is working on production of 3,000 teaching packages. All final drafts were proofed by the professional consultant team from Chulalongkorn University and A.U.A Institute. The working group also prepares questionnaires for the process of follow-up and evaluation.

East-West Community College Project

The Education team met with the Ministry's senior officials in an effort to provide assistance to Thailand's Ministry of Education in establishing community colleges in Thailand. The Ministry held workshops for all the personnel concerned including community colleges administrators and their staff from ten selected provinces and members of the provincial community college implementing board. The team also had a meeting with Dr. Beng Poh Yoshikawa, Director, International Affairs and Development, University of Hawaii, whose trip to Thailand was supported by the US Embassy. Dr. Yoshikawa represented the US East-West Community College Consortium. Dr. Yoshikawa agreed to develop a joint proposal between the Consortium and the Ministry of Education to propose for a grant from the AERA-Education Program. She planned to submit the proposal in January 2002.

Mae Fah Luang University Project

The Education Team met with Prof. Harry L. Gradman of Indiana University at Bloomington (IU) during his visit to Thailand to discuss ways to assist Mae Fah Luang University in its staff and curriculum development. Prof. Gradman and his team agreed to prepare a proposal jointly with the University. The Project was put on hold in this quarter because the new President of the University had not been named. In the first quarter of 2002, the Education team will follow up with MFL and IU now that Dr. Vanchai Sirichana has been appointed the new President.

Border Action Against Malaria

The Border Action Against Malaria Project (BAAM) works with a broad national coalition to slow the emergence and spread of multi-drug resistant malaria in Thailand's border areas. The program is developing new models of cooperation and partnership, particularly with community organizations, to monitor and respond to the threat that such resistant strains will spread, not only in Thailand, but also across the region. This is intended to contribute to decentralization and reform of public health systems, making them more effective and sustainable. The purpose of the project is to improve the national capacity to monitor and respond to multi-drug resistant malaria.

Project Activities

During the reporting period from January to March 2002, activities implemented included:

Sentinel site monitoring of in vitro sensitivity to antimalarial drugs: Under the BAAM supported national malaria sentinel surveillance drug resistance network strengthening initiative, monitoring of *in vitro* sensitivity to the currently used antimalarial drug treatment regimens was implemented by National Malaria Control Program officials in 9 border provinces.

Community presentations on malaria situation assessment under the Partnerships for a School-based Approach to Community Action Against Malaria subproject: Students in 25 schools in Tak and Mae Hong Son Provinces presented results of in-depth study of community malaria epidemiology to community leaders under the Partnerships for a School-based Approach to Community Action Against Malaria subproject. Presentations covered results of study of the community malaria situation by students using data from malaria/health officials and from direct surveys of the community on the following topics:

- risk analysis of malaria infection including risk groups (sex, age, occupation, residents/migrants), key sites of infection (village, other villages, farm huts, forest, foreign country), monthly occurrence of infection and transmission peaks
- characteristics and behavior of local *anopheline* vectors
- measures for personal/family protection against mosquito bites
- vector control measures
- service sites to obtain early diagnosis and rapid effective treatment
- personal, family, and community impact of malaria (monetary and non-monetary)

Multi-media presentation of results to the community by students took various forms, e.g. skits, puppet shows, dances, flip charts, maps, graphs, quiz shows, news broadcasts, etc. Interactive sessions included discussions to check validation of findings on the community malaria situation.

Teacher training workshops under the Partnerships for a School-based Approach to Community Action Against Malaria subproject: Training and learning exchange workshops for teachers from 25 schools in Tak and Mae Hong Son Provinces on guiding the process for in-depth study of community malaria epidemiology (specific prevention and treatment-

seeking behavior of the community and reasons) by school children with support from local Vector-borne Disease Control Units under the Partnerships for a School-based Approach to Community Action Against Malaria subproject.

Training workshops for village health/malaria volunteers and sub-district council members:

BAAM Project Coordinating Unit collaborated with VBDO Nos. 1 and 2 to organize 2 training workshops for village health/malaria volunteers and sub-district council members on in-depth community malaria situation analysis; prevention, control and treatment of malaria; and coordinating planning for community action against malaria. Rather than the usual one-way provision of information on malaria, these training workshops first gave participants opportunities to discuss and present their pre-existing knowledge and generate substantial lists of questions for which they desired information or clarification. Technical presentations focused on responding to the comprehensive range of practical topics raised by participants' inquiries, correcting misunderstandings, and clarifying areas of confusion, e.g. dengue/hemorrhagic fever and aedes aegypti vs. malaria and anopheles. The same approach was used for situation analysis: participants presented their impressions of the malaria situation in their communities, and then were given information on the actual community-level epidemiology from VBDO statistics on risk groups, sources of infection, and peak transmission times. This was the first time that community-level malaria epidemiological information was made accessible to village health volunteers and local leaders. Realizing the significance of the malaria burden and impact, local sub-district council members expressed their intention to mobilize local budget support for action plans emerging from the school-based community mobilization process.

Evaluation of learning on malaria content and development of life skills by students: The Life Skills Development Foundation collaborated with Special Projects Office of the Office of the National Primary Education Commission to conduct evaluation of learning by grade 6 and 9 students (who will leave school in March) in schools in Tak and Mae Hong Son Provinces under the Partnerships for a School-based Approach to Community Action Against Malaria Project. The evaluation will identify teaching-learning processes and activities actually used, student attitudes to the case study approach, and assess knowledge on malaria. Results of the life skills assessment will be compared with the baseline measurement to analyze improvements in each of the 12 core life skills after one year of implementation.

Developing a local curriculum unit on community-based malaria prevention and control: 13 district and provincial primary education supervisors from Tak and Mae Hong Son Provinces were sponsored to join national training-of-trainers for primary education supervisors in the new national curriculum organized by the Department of Instruction and Curriculum Development. These supervisors will be the core team to lead training and workshops on curriculum analysis and development of a local curriculum unit using an integrated thematic approach for learning about community-based malaria prevention and control from grades 1-9 to be promoted for adoption in endemic border area schools.

Preparation for expansion of school-based approach: BAAM sponsored a study visit for primary education supervisors, principals, and malaria officials from Trat Province to observe the teacher learning exchange and training workshop in Tak Province on guiding the process for in-depth study of community malaria epidemiology. Depending upon demand and

interest, it is expected to initiate expansion to several core schools in border areas of Trat Province in October 2002.

Development of subgrant proposals: During this quarter, BAAM management met several times with MOPH officials for consultations on operational strategies, to review draft proposals, and provide guidance in formulating final proposals. Two major subgrant proposals are being finalized and are expected to commence implementation in the next quarter as follows:

- Participatory action research in Chantaburi and Trat Provinces for malaria control focused on high-risk forest-related occupation groups, to be implemented by Provincial Health Offices and vector borne disease control centers and units as they become merged with the health system under the bureaucratic restructuring slated to be initiated in October 2002.
- Developing and producing dipstick dye immunoassays utilizing dye particle-linked monoclonal antibodies developed against pLDH for malaria antigen detection, to be implemented by the Malaria Division and the National Institute of Health, Department of Medical Sciences.
- *Coordination and Networking:* BAAM Project management participated in the WHO sponsored Ministry of Public Health Meeting on Collaboration in Health on the Thai-Myanmar Border in Mae Sot, Tak Province, and joined a field trip to Mae La refugee camp and international health PVO facilities in the border area. BAAM Project Coordinator and Project Assistant participated in a meeting of the Provincial Coordinating Committee of the Tak Malaria Initiative (Gates Foundation) and delivered a presentation on BAAM and its' complementary activities in Tak Province. BAAM was invited by Aide Medicale International to write an article on the school-based approach for community-based malaria control for inclusion in a special malaria issue of the AMI Health Messenger magazine to be published in May 2002 for distribution to health workers along the Thai-Burma border area.

Major Activities Planned for the Next Quarter

Major activities planned for the next quarter from April through June 2002 include the following:

- Seminar to review and analyze results of sentinel surveillance network monitoring of antimalarial drug resistance situation in Thailand (March 2001-2002).
- Write-shop to summarize one-year implementation of the Partnerships for a School-based Approach to Community Action Against Malaria Project.
- Planning workshop for teacher training on analyzing alternatives, planning community action against malaria, and project-based learning.
- Teacher training workshop for primary education officials (teachers, principals, provincial and district primary school education supervisors) from 25 schools in Tak and Mae Hong Son Provinces for learning exchange and training on guiding the process for analyzing alternatives for community actions against malaria, developing community action plans and projects, and project-based learning.

- Hands-on demonstration training for principals, provincial and district primary school education supervisors on facilitating a school-community-stakeholder consultation workshop to analyze alternatives for community action against malaria and set guidelines for action plans and projects (1 site each in Tak and Mae Hong Son).
- School-community-stakeholder consultation workshops to analyze alternatives for community action against malaria and set guidelines for action plans and projects (25 schools in Tak and Mae Hong Son Provinces).
- Meeting of the working group on the Partnerships for a School-based Approach to Community Action Against Malaria subproject to review progress of planned activities.
- Prototype training workshop for vector borne disease control units and local health officials on analyzing community-level malaria epidemiology information.
- Consultations with Provincial Health Offices and vector control centers in Chantaburi and Trat regarding proposal for participatory action research under transition to decentralized health system reform.
- Consultations with Royal Projects Bureau and Border Patrol Police Bureau regarding development of a proposal to support Border Patrol Police primary school teachers to provide rapid diagnosis and treatment to populations in remote villages along the Thai-Burma border and for expansion of coverage of the Partnerships for a School-based Approach to Community Action Against Malaria subproject.
- Technical review of proposals for participatory action research in Chantaburi and Trat and development and production of dipstick rapid test.
- Participation in ACTMalaria Annual Partners Meeting by BAAM Project Director and Deputy Director.

AERA Promoting Good Governance Project Implemented by The Asia Foundation

PROGRAM SUB-PROJECT PROGRESS

Research on Enhancing the Efficiency of Asset and Liability Statement Examination

As noted in the previous report, the NCCC-organized team completed its research and evaluation of the Asset and Liability Review process conducted by the NCCC. The team has recommended the NCCC implement an electronic format for the submission of statements and has submitted an urgent request to the NCCC for permission to proceed with the testing of a pilot electronic format. Once this permission has been granted, (tentatively during the 7th Quarter), the Foundation will provide the team with additional sub-grant funds to conduct training for NCCC staff to test the proposed system. While awaiting USAID approval of additional project funds, the Foundation authorized the team to proceed with the development of pilot software system, with reimbursement for approved costs incurred subject to the award of additional USAID funds. In this manner the momentum of the project would not be curtailed.

The pilot system will require substantial re-engineering of the current process through which the NCCC reviews asset and liability statements. As with most reforms requiring process re-engineering, internal resistance to such reforms is to be anticipated. However, since the current process allows the NCCC to verify less than 5% of Asset and Liability Statements submitted, it must find a radical solution if it is to discharge its constitutional mandate to verify all statements in a timely manner.

Research on Enhancing Public Participation in Local Governance

The problems faced by the NCCC in meeting the demands of its mandate are particularly troublesome when it comes to the problems of corruption at the *tambon* level. Corruption has existed for some time at the local level; however, due to decentralization and the provision of greater budgetary responsibility to TAO, local governance is becoming a growth area for corruption. Nevertheless, due to the demands of the Asset and Liability Statement review process, the NCCC has scarce resources to devote to this level of corruption. For this reason, the Foundation supported research by a team organized by the Chulalongkorn University Political Economy Center in an attempt to clearly define the nature of corruption at the *tambon* level to develop recommendations for controlling such corruption.

The research team has completed its study and is awaiting further comment from the NCCC before proceeding with the development of recommendations that will be discussed in a series of public workshops and seminars, (tentatively, during the 7th Quarter). The research team found that the achievement of effective public participation in local governance faces numerous obstacles that reduce the capacity of citizens to oversee procurement of public goods and services. Although participation and public oversight are favorably supported by the constitution and a variety of laws, Thai social practices and political mechanisms run counter to these laws and suppress rather than support participation. Indeed, many of these practices and mechanisms promote interference by central authorities, local and national civil servants, national level politicians, and local dark influences.

One of the most significant factors working against public participation is a general perception among citizens that TAO can do little to solve the actual problems of a community because those who seek TAO office do so only for their own personal gain. Citizens at the local level are very aware within their own community of who is who, who works for whom, and who owns what. Therefore, it is easy for them to see, or at least infer, who benefits both directly and indirectly from TAO procurement decisions. Moreover, officials who control public hearings provide citizens with limited opportunities to participate in the budget planning that determines procurement. Since officials ultimately control what goes into a budget plan, citizen suggestions are either ignored or significantly modified. As a result of previous experiences with insincere, self-serving officials, citizens are reluctant to waste their time working on new plans. In other cases the use of legal terminology by officials, or overly-complex, control-oriented regulations imposed by central authorities cause many citizens to withdraw from TAO meetings and thus enable the TAO and officials to do as they please without public oversight.

Some well-intended projects, such as the World Bank-backed Social Investment Fund (SIF), actually create divisions within the community that run counter to effective participation. First, the project may have objective or design limitations that are not applicable to the actual needs of a particular community. Dissension is therefore created when projects perceived by the community to be important are rejected because they fall outside the parameters of the program. Second, such projects often tend to create an overly-competitive environment when community groups seek to pursue limited funds. This is often because the total budget for a community is established by central authorities based on criteria that have little to do with actual needs or potential for projects in any one community. In the case of the Village Million Baht fund, decisions ultimately rest with politicians. As a result, the projects actually promote patron-client ties.

The researchers concluded that corruption at the TAO level is primarily due to the interference and control of central authorities, local officials, and influential groups at the local level. This interference starts with the development of the annual TAO budget plan that determines subsequent procurement. Over the years, it has become common practice to submit a plan that is essentially the same as the plan submitted in the previous year; moreover, all TAO essentially submit the same generic budget plans. Based on interviews in the case study TAO, the rationale for this phenomenon is clear. If an alternative plan is proposed, the TAO secretariat often refuse to accept it noting that the District Office will merely return it for modification and the secretariat does not wish to do any more work than it has to. As a result, even if a project was not required during one year, in order not to loose funds, the project must be completed according to the plan. Moreover, if bids were already prepared in a previous year, new bids in the subsequent year are not essential.

Corruption in procurement and contracting is most problematic in public works projects with budgets exceeding Baht 50,000 that require competitive bidding. Tender manipulation problems at the TAO level are often different than those at the national level because the projects are small and because TAO are distant from each other and the center. There are several common methods for tender manipulation:

- Median prices set by central authorities are not always relevant in the provinces. Depending on item and market conditions, the actual price of an item in the provinces may be significantly higher or lower than the median. The nation's largest construction material distributors have agents in nearly every province that establish and protect the prices of basic construction materials. Essentially, construction companies must base their quotes on the cost of materials set by these firms. Moreover, these affiliate firms or networks can guarantee they will provide the quantity and quality of materials requested. For example, although an unaffiliated, smaller firm might offer a more competitive price on a particular floor tile, it might not have sufficient quantity in its inventory to complete the job and it is probably unable to guarantee that it will be able to source sufficient quantity with the exact specifications and price.
- Civil servants and retired civil servants (both green and khaki) are the owners of large construction firms that have numerous affiliates. These affiliates often are not visibly connected to the parent firm but it is these affiliates that actually submit tender bids at the TAO level. The duty of the owner of the parent firm is to use his/her influence and prestige to smooth relations with the TAO and build confidence in the affiliate's bid. In other cases, village headman, kamnan, and TAO members (both elected and civil servants) own construction companies but use the names of other individuals as the owners of record.
- The larger construction companies conspire with each other to prevent smaller firms from earning a living off public works through rule manipulation and intimidation. For example, construction firms maintain distinct territories and they normally do not cross into the territories of other firms. Therefore, tender competition is often limited to two or three firms with little choice in terms of quality.
- Local people must establish a firm under legal rules and regulations, which is not that difficult. Nevertheless, they cannot bid on projects because tender rules require that they meet requirements to demonstrate previous public works activities, effectively shutting them out of any competition. While those who initially made the "previous experience" rule may have thought they were promoting quality control, the actual impact of this rule at the TAO level is to restrict competition and thereby reduce incentives for established firms to provide quality workmanship.

Once a bid has been approved and an award granted, there is often a lack of transparency in project administration. For example, there is the use of such terms as "other expenses" that TAO are unable to review in terms of reasonableness or necessity. Moreover, receipt fraud is endemic in which materials to be procured from one licensed vendor are actually purchased at a lower price from other vendors with all receipts at a higher price in apparent order.

The TAO must have Inspection Committees for each project, of which two members must be local citizens. Officials have a tendency, however, to appoint citizens from their own circle to Inspection Committees. As a result, the work of committees is too often based on principles of friendship, family ties, or local patron-client relations. Based on such ties, too often documents or other data are assumed to be complete without question because committee members see the completed road or other project. There is no investigation

concerning the character or quality of the job done. When provincial or national audit officials do an inspection, they merely look to see if everything was done in accordance with the plan and determine whether or not all receipts are in order.

Special budgets set aside to assist villagers with natural disasters are very prone to problems because such funds are easy and quick to secure. They are often used for purposes that are not in line with the actual needs of a community. Thus, for example, a community might receive flood relief that should be used for a dike to protect against future flooding but the funds are used for other purposes instead. As a result, the community continues to experience problems with floods.

Another prominent form of corruption at the TAO level is the abuse of power in granting concessions, licenses and permissions to those in one's own group or seeking rents from such grants. This is particularly problematic in grants for the use of local natural resources, and for industrial and tourism promotion activities.

Research on the Concept of Conflict of Interest

In contrast to the political-economy approach of the NCCC research team organized by the Chulalongkorn University Political Economy Center, the Institute for Human Rights Protection and Development (IHRPD) examined the issue of good governance at the *tambon* level from a philosophical perspective. While the Chulalongkorn team identified structural and systemic problems behind corruption at the local level, the IHRPD team saw moral or ethical lapses as the significant problem. The IHRPD's research pointed to a basic lack of comprehension by both officials and citizens of such concepts as conflict of interest and ethics in governance, and the inter-relationship between ethics and accountability, transparency, honesty, and dignity.

Through use of the SWOT analysis technique, IHRPD assisted participants in six target tambon to identify the nature of the problems in their community and potential solutions to these problems. However, due to the input section of the program, which focused on issues of ethics in good governance, the solutions focused on changes in attitudes and values rather than on reforming structures or systems. The 34 characteristics or changes can be summarized under six categories:

1. Seven Participations: thinking, carefully listening, deeply considering, deciding, influencing, taking action, and receiving the results.
2. Nine Principles: responsibility, transparency, accountability, efficiency, steadfast, friendly, enduring, careful, and righteous.
3. Ten Virtues: honesty, sacrifice, courage, faith in goodness, empathy, understanding, trust, respect, generosity, and faith in morals.
4. Seven Strengths: consciousness, intelligence, creative thinking, heart, discourse, merit, and physical strength.
5. Three Sectors: organization, sustainability, and mutual benefit.
6. Eight Targets: self-help, mutual-help, support the family, support strong community, build peaceful society, build love and respect, build team spirit, and build human rights traditions.

In order to promote the growth of these characteristics, project organizers promoted creation of a Congress of Good Governance for Community Development of Thailand in each of the target provinces, and a national body to serve as a focal point for provincial congresses. Each congress is charged with working with local community groups, officials, and TAO to promote good governance through observation and promotion of the 34 characteristics.

Enhancing Citizen Participation in Suppressing Corruption

Since the initiation of this project, the Foundation has been providing administrative and program support to two NGO's, Transparency Thailand (TT) and the Anti-Corruption Network (ACN), to promote citizen participation in suppressing corruption. The focus of TT has been on national policy level issues, while the ACN has a greater focus on participation at the local level. The organizations cooperate together in developing and implementing programs that focus on students.

TT's major new networking accomplishment has been to develop strong relations with the new National Economic and Social Development Council (NESDC); a 99 person organization mandated by the 1997 Constitution to advise the government on public policy. Anand Panyarachun was elected to serve as the first chair of the NESDC; he is also the chair of TT. The Council has established a task force on corruption that has sought to work with TT on research projects, particularly those that will help to improve Thailand's ranking in the Corruption Perception Index.

TT has been able to overcome initial resistance by the NCCC to work closely with civil society organizations. The TT has been able to develop a good working relationship with the NCCC through such co-sponsored activities as a campaign to develop anti-corruption slogans. Over 1,000 slogans were submitted by citizens nationwide. Awards for the best slogans were announced in a radio program over FM 96.0. The four best slogans were:

Be responsible to duty, plentiful of integrity, build a coalition against corruption

The duty of Thais is to build an alliance to eliminate corruption

Don't be misled by corruption; do not be blinded by corrupting our motherland

To be poor with integrity is better than to be rich by corruption

To further this type of cooperation, the NCCC has invited TT and several other organizations to attend a meeting on April 19, 2002 to discuss strategies to strengthen the NCCC-civil society partnership.

TT has also built relations with other NGOs. For example, in cooperation with the Anti-Corruption Network, the Pridi Banamyong Foundation, and The Asia Foundation, TT co-sponsored a youth art contest on "Honesty". Over 800 students nationwide submitted their art. Awards were presented at a ceremony on January 19, 2002 at the Pridi Banomyong Institute. The award-winning art work can be viewed in the Transparency Art Gallery at www.transparency-thailand.org.

TT also worked with the Anti-Corruption Network to launch a Youth Anti-Corruption Training Program in October-November, 2001. As a follow-up, participants have been invited to participate in a Youth Essay Contest on the topic “My View of Corruption”. The results of this contest will be announced in June 2002. Another public relations campaign launched to heighten public awareness of corruption was an anti-corruption music contest organized in cooperation with Ruam Duay Chuay Kan (City Radio Station). Ten finalists have been recruited for the final round. Winners are expected to be announced in early April, 2002.

To improve the quality of reporting on transparency issues, TT cooperated with the Thai Journalists Association to organize a workshop on investigative journalism for print journalist in mid-December, 2001. TT and the Thai Broadcast Journalists Association organized a second workshop in March, 2002 to improve investigative journalism among broadcasters. Simultaneously, TT announced the creation of a “Best Media Award on Corruption”. The results of this competition will be announced in July, 2002.

Since July 2001, TT has produced a 2-hour radio program on anti-corruption, aired every Saturday from 12:40 to 14:30 on FM 96.0. TT expanded its radio coverage in January 2002 with an additional 15-minute production aired every Saturday evening from 18:15 to 18:30 over ten local radio stations.

TT is using its new web site at www.transparency-thailand.org to further develop a counter-corruption network. (TT’s multi-lingual website is supported by private funds donated by The Asia Foundation.) The website includes background information on the organization and activities of TT, links to its research and publications, and a user webboard for users to participate in discussion of transparency issues.

Among TT research projects released at the end of December 2001 are the following:

1. Dr. Nualnoi Trirat, “Combating against Corruption: Reform the Politics and Social Movement”
2. Pipob Thongchai, “Lessons from the New Constitution and Movement against Corruption”
3. Prasong Lertrattavisut, “Problems in Using the Official Information Act to Investigate Government Departments”
4. Chavarong Limpatamapanee, “The Role of Newspapers in Combating Corruption”
5. Rossana Tositrakul, “Lessons from NGOs in the Case of the Public Health Scandal”. Copies of these research reports can be found on TT’s website.

APPENDIX A: BSOP Projects Matrix

BSOP Projects Matrix

Projects Approved for Funding				
Large Grants				
Project Name / Objective	Description	Objectives/ outcomes	Partners	Status
Standards of Practice Handbook: Certified Investment and Securities Analyst Program / <i>Financial Governance</i>	The Association for Investment Management and Research (AIMR) and the Securities Analysts Association (SAA) are promoting a "code of ethics" for the Thai corporate sector by translating and adapting the AIMR's manual for the Thai environment.	To build up a strong ethics and professional standards program	SAA (Thai), AIMR (US)	The project was completed in September 2001.
Support programs related to the Chartered Financial Analyst Designation/ <i>Financial Governance</i>	AIMR experts are to conduct a workshop on Global Investment Performance Standards (GIPS). In addition, the 10-day review sessions in tutorial classes will be delivered to prepare CISA/CFA candidates for level 1 and level 2, year 2002 exam, using Schweser materials	to raise the quality of Thai practitioners in finance and capital markets.	SAA (Thai), AIMR (US), Schweser (US)	The project has been approved on February 11, 2002. Three AIMR experts traveled to Bangkok to conduct a GIPS workshop for Thai financial analysts on March 9, 2002.
Upgrading the Capability of Accounting Instructors / <i>Financial Governance</i>	The Institute for Certified Auditors and Accountants of Thailand (ICAAT) is developing accounting instructional aids including video tapes, computer programs and teacher's manuals to use in higher education with assistance from a US counterpart university.	Improved accounting standards particularly outside of Bangkok.	ICAAT (Thai), U.S. University	The video production team is working on material production, including videos, transparencies, disks, and manuals for 11 topics. The project has been delayed.

Projects Approved for Funding

Projects Approved for Funding				
Large Grants				
Project Name / Objective	Description	Objectives/ outcomes	Partners	Status
Comparative Study on Thailand's Savings and Investment Tax Policy / <i>Financial Governance</i>	The study is to examine the impact of Thailand's present tax structure on the financial and capital markets from both the economic and administrative aspects. The Security Exchange Commission of Thailand (SEC) asked for the Thai Development Research Institute with assistance from U.S. experts to conduct the study.	More attractive tax provisions for investors.	Thai SEC, TDRI, U.S. Counterpart	The project was completed in September 2001.
Bankruptcy Court Administration Program Part I / <i>Corporate Governance</i>	The American Bankruptcy Institute (ABI) is providing technical assistance to the Thai Central Bankruptcy Court (CBC) in training and establishing systems to deal under the revised laws with the backlog of corporate bankruptcies.	To improve the capabilities of the CBC and develop a relationship between CBC & ABI	CBC (Thai) ABI (U.S.)	The CBC delegation, including three judges and four administrators, already completed their trip to visit the US courts in Miami and Los Angeles from Feb 2 – 16, 2002.
Bankruptcy Court Administration Program Part II / <i>Corporate Governance</i>	As a result of ABI's recommendation in Part I of the program, additional technical assistance and training is to be given to CBC by the ABI-member team in area of IT	To improve the capabilities of the CBC and strengthen the relationship between CBC & ABI	CBC (Thai) ABI (U.S.)	The videoconferencing equipment has been already installed at the CBC.



Projects Approved for Funding

Projects Approved for Funding				
Large Grants				
Project Name / Objective	Description	Objectives/ outcomes	Partners	Status
Thai Bankers Handbook / <i>Corporate Governance</i>	The Thai Institution for Banking and Finance Association (TIBFA) under a licensing agreement with the American Bankers Association (ABA).	Completion and use of handbook throughout Thailand	TIBFA (Thai) ABA (U.S.)	The first draft of translation and adaptation of the handbook was completed in November 2001. TIBFA is now working on the final draft
Establishing TLAPS Linkage with APICS/ <i>International Competitiveness</i>	To continue its effort to establish a sustainable relationship with APICS, TLAPS will deliver a pilot training program for APICS certification. APICS CPIM certification consists of five components; the pilot program will only cover the first component. The results of the pilot program will determine future support for subsequent training programs.	To establish a sustainable relationship with APICS	TLAPS (Thai) APICS (U.S.)	The project has been approved on March 27, 2002
Performance Audit Workshop / <i>Corporate Governance</i>	The training program will be delivered as a two-week workshop introducing the fundamental principals, concepts, and methods of performance auditing. This workshop includes a three-day module on instructional skill to improve the ability of participants to pass on their newly acquired knowledge and skills to the SAO's auditors throughout the country.	To improve the capability of the SAO's auditors in performance auditing	SAO (Thai) GAO (U.S.)	The project was completed in April 2001.



Projects Approved for Funding

Projects Approved for Funding				
Large Grants				
Project Name / Objective	Description	Objectives/ outcomes	Partners	Status
Building Capacity and Professional Standard for Property Valuation in Thailand / <i>Financial Governance</i>	The Appraisal Foundation (TAF) will provide technical assistance to the Securities Exchange Commission (SEC) to develop a single standard, code of ethics and its audit manual for Thai valuation profession. A single, neutral standard, code of ethics and audit manual for supervision will improve the transparency and accuracy of appraisals and will have a positive impact on financial and capital markets sector.	Single standard, code of ethics, and its audit manual for Thai valuation profession	SEC (Thai) TAF (U.S.)	TAF already completed a draft comparative study.
ABIH Certified Industrial Hygienist Program / <i>International Competitiveness</i>	The Occupational Health and Safety at Work Association (OHSWA) of Thailand proposes to join the American Board of Industrial Hygiene (ABIH) to provide training and establish a certificate program	To upgrade the professional skills of Thai health officials and strengthen the relationship between OHSWA and ABIH	OHSWA (Thai) ABIH (U.S.)	The project was completed in September 2001.



Projects Approved for Funding

Large Grants				
Project Name / Objective	Description	Objectives/ outcomes	Partners	Status
SET Junior Achievement Thailand / <i>International Competitiveness</i>	The BSOP is providing support to the Thailand Securities Institutes (TSI) of the Stock Exchange of Thailand (SET) to organize, develop and deliver economics education programs for Thai youth and to promote Thai capital markets. Junior Achievement International (JAI), an International arm of the well-known US economic education organization, will provide the TSI with curriculum, education materials, and expert technical and training assistance.	To promote the long-term development of the capital market and stock market in Thailand	SET-TSI (Thai) JAI (U.S.)	The training is ongoing. SET-TSI is also working on the translation of JAI materials.
The Bond Market Development/ <i>Capital Market Development</i>	The co-operation between Thai BDC and TBMA will be focused on the technical assistance and consultation, translation and adaptation of standards materials, as well as the introduction of an appropriate organizations in the U.S. to provide training course to the Thai BDC. This cooperative program is to develop bond market in Thailand	To develop the bond market in Thailand	Thai BDC TBMA (U.S.)	Four Thai BDC staff will visit TBMA and other key organization related to the bond market in the U.S. from April 6 – 18, 2002. Under BSOP support, two Thai officials from the Bank of Thailand and Ministry of Finance will also join the trip.



Projects Approved for Funding

Projects Approved for Funding				
Large Grants				
Project Name / Objective	Description	Objectives/ outcomes	Partners	Status
Secured Transaction Law Development and Implementation/ Financial Governance	American Bankruptcy Institution (ABI) is providing technical assistance to the Office of the Court of Justice (OCJ) to promote a new law on Business Secured Transaction in Thailand and to prepare the establishment of Registry Office.	To promote a new Business Secured Transaction Law and to prepare for the establishment of a Registry Office	OCJ (Thai) ABI (U.S.)	The Education Component has been completed. The Thai delegation plans to visit the Registry Offices in U.S. and Canada in late July.
Community Leadership Training Program/ Corporate Governance	The Institute for Management Education for Thailand foundation (IMET) is joining with The Community Leadership Association (CLA) to conduct a training on Community Leadership program to promote good community leadership throughout Thailand	To promote good community leadership throughout Thailand	IMET (Thai) CLA (U.S.)	The first pilot training program in the northern region was delivered from February 21 – 23, 2002 in Chiang Mai. The next pilot training will be conducted in Songkhla from June 20 – 22, 2002.
Mediation Center for Financial Disputes Program/ Financial Governance	American Bankruptcy Institution (ABI) is providing technical assistance and training to enhance the efficiency and capabilities of a newly-established Mediation Centre for Financial Disputes (MCFD), including mediators, financial advisors, and staff.	To enhance the ability and creativity of mediators, financial advisors, and MCFD staff	DRO (Thai) ABI (U.S.)	Five experts from ABI traveled to Bangkok to conduct a three-day train-the-trainers course from January 14 – 16, 2002.
Organic Inspection Training Program/ International Competitiveness	The Independent Organic Inspectors Association (IOIA) is providing technical assistance to the Department of Agriculture (DOA) to develop the organic crops inspection process in Thailand	To build Thai government officer's capabilities to inspect organic crops	DOA (Thai) IOIA (U.S.)	IOIA is working on the training curriculum.

Projects Approved for Funding

Large Grants				
Project Name / Objective	Description	Objectives/ outcomes	Partners	Status
Productivity and Workplace Improvement/ <i>International Competitiveness</i>	Occupational Safety and Health Association (OSHA) and the National Institute of Occupational Health and Safety (NIOSH) are joining with the National Institute for the Improvement of Working Conditions and Environment (NICE) to deliver a train-the-trainer program on "Productivity and Workplace Improvements" in Thailand.	To upgrade the skills of regional NICE officers.	NICE (Thai) OSHA (U.S.) NIOSH (U.S.)	KIAsia is bringing together the expertise of the ILO and US – OSHA to support this project. The project has been delayed.
Upgrading the Capabilities of Post and Telegraph Department's Staff for National Telecommunications Commission's Secretariat Office/ <i>Corporate Governance</i>	This training and technical assistance program is to upgrade the capabilities of the officials of the Post and Telegraph Department (PTD) in the preparation of the organization to be transformed into the Secretariat of the National Telecommunications Commission (NTC) which is to operate as an independent regulator regulating telecommunications, and promoting competition and liberalization.	To upgrade the capabilities of PTD's officials	PTD (Thai) FCC (U.S.)	The U.S. experts led by Ms. Irene Wu, US FCC Regional Specialist for Asia, and Mr. William Garrison, Telecommunications expert, joined with the Thai expert, to deliver a three-day Telecom Regulator Training Program for PTD's staff between January 28 – 30, 2002.



Projects Approved for Funding

Projects Approved for Funding				
Large Grants				
Project Name / Objective	Description	Objectives/ outcomes	Partners	Status
Judicial Reform Project/ <i>Corporate Governance</i>	The Office of the Court of Justice (OCJ), the Federal Judicial Center (FJC), and the American Bar Association (ABA) have joined together to exchange knowledge and information on judicial reform issue. This training and technical assistance program aims to promote the application of new measures in the Thai courts in order to eliminate unnecessary delays and to improve efficiency in the court proceedings of economic cases.	To improve efficiency in the court proceedings	OCJ (Thai) FJC (U.S.) ABA (U.S.)	Ms. Mira Gur-Arie, Senior Judicial Education Attorney of the Federal Judicial Center (FJC), traveled to Bangkok from January 15 – 22 to hold meetings with Thai judges of the Office of the Judiciary and other key stakeholders to discuss the next steps of the Judicial Reform Project.
USFDA-Approved Better Process Control Scholl (BPCS) in Thailand Year II/ <i>International Competitiveness</i>	The National Center for Genetic Engineering and Biotechnology (BIOTEC) has provided the assistance to King Mongkut's University of Technology Thonburi (KMUTT) to establish USFDA approved BPCS in Thailand. BPCS is the first ever school in Thailand which aims to assist Thai food exporters of low-acid canned food (LACF) and acidified foods (AF) in exporting to foreign markets.	To establish a USFDA certified BPCS in Thailand	BIOTEC, KMUTT (Thai) Purdue Univ. (U.S.)	The project has been approved on March 27, 2002.



Projects Approved for Funding

Large Grants				
Project Name / Objective	Description	Objectives/ outcomes	Partners	Status
Internal Audit Quality Assessment – Professional Practices and Training on Quality Assurance Review Program/ <i>Corporate Governance</i>	The Institute of Internal Auditors (IIA-US) is to initiate its professional practice of rendering quality auditing services on Quality Assurance Review (QAR) program for Krung Thai Bank, and to provide capacity building for its Thai affiliated IIA of Thailand (IIAT). This QAR services aims to assist the bank's internal audit staff to improve its skills in operational auditing risk assessment and implementing of internal control.	To provide KTB with quality QAR services that will help improve its good governance.	KTB, IIAT (Thai) IIA-US (U.S.)	The project has been approved on March 27, 2002

Projects Approved for Funding

Small Grants				
Project Name / Objective	Description	Objectives/ outcomes	Partners	Status
Technical Assistance for Thailand Rating Information Services (TRIS) / <i>Financial governance</i>	Technical assistance and training is to be given to TRIS by an expert sourced under the International Executive Service Corps (IESC) in the area of bond rating.	To strengthen Thai corporations' abilities to raise funds by improving the capabilities of TRIS in bond rating.	TRIS (Thai), IESC (US)	The project was completed in February 2000.



Projects Approved for Funding

Small Grants				
Project Name / Objective	Description	Objectives/ outcomes	Partners	Status
TRIS – Trip # 2	To provide expert assistance from a US Private Volunteers Organization (PVO) to help TRIS in the area of credit rating methodologies and procedures, securitization, and staff training	To strengthen Thai corporations' abilities to raise funds by improving the capabilities of TRIS in credit rating methodologies and procedures, securitization	TRIS (Thai), CDC (US)	The BSOP is looking for the U.S. partner.
APICS-TLAPS Partnership #1 / <i>International Competitiveness</i>	Mr. Richard Welch, the former President of APICS, attended TLAPS annual seminar in Thailand on May 5 – 22, 2000 with support under the BSOP fund.	To establish long-term cooperation between TLAPS and APICS	TLAPS (Thai), APICS (US)	The project was already completed.
APICS – TLAPS Partnership #2 / International Competitiveness	The BSOP has provided financial support to the President and advisor of the Thai Logistics And Production Society (TLAPS) to attend APICS conference in U.S.A.	To establish long-term cooperation between TLAPS and APICS		The project was already completed.



Projects Approved for Funding

Projects Approved for Funding				
Small Grants				
Project Name / Objective	Description	Objectives/ outcomes	Partners	Status
International Auditor Fellowship Program / <i>Corporate Governance</i>	To provide financial support to the Office of the State Audit of Thailand (SAO) to send its staff to attend The GAO Fellowship program, which supports the goal of the International Organization of Supreme Audit Institutions (INTOSAI) to strengthen auditing and financial management practices and promotes the sharing of information and experiences among Supreme Audit Institutions (SAI) throughout the world.	To improve the capabilities of the SAO staff and develop a relationship between SAO and GAO	SAO, General Accounting Office (GAO)	Mr. Karanee Butrameeboon has been selected to participate in the GAO Fellowship program 2001 between May 14 to September 21, 2001 at GAO in Washington D.C., U.S.A. The program was already completed.
The International Auditor Fellowship Program's field office placement 2000 / <i>Corporate Governance</i>	The program is a continuation of the International Auditor Fellowship program and is designed to provide the participant with training, which includes gaining practical experiences in designing and implementing audits.	To improve the capabilities of the SAO staff and develop a relationship between SAO and GAO	SAO (Thai) GAO (U.S.)	The program was already completed.
Land Assessors' standard and procedures/ <i>Financial Governance</i>	Mr. James Park, Director of Research and Technical Issues from the Appraisal Foundation came over to hold talks with key Thai organizations involved in the land assessment in late August.	Improve knowledge of assessors in Thai assessment organizations	Association of Thai Assessors, Appraisal Foundation (U.S.)	The program was completed in August 2000.



Projects Approved for Funding

Projects Approved for Funding				
Small Grants				
Project Name / Objective	Description	Objectives/ outcomes	Partners	Status
Certificate for Occupational Health and Safety / <i>International Competitiveness</i>	To provide financial support to Mr. Dan Agopsowicz, the Board Chair of the American Board of Industrial Hygiene to deliver a seminar to the Occupational Health and Safety at Work Association in Thailand	To initiate training programs and establish a certificate program to comply with the new Labour ACT.	OHSWA (Thai), ABIH (U.S.)	The program was already completed.
SET Derivatives Program / <i>Financial Governance</i>	Mr. Robert B. Gilmore, an expert consultant, came to provide technical assistance and training to the Stock Exchange of Thailand (SET) to improve SET's knowledge of derivatives and systems to offer derivatives products.	To prepare the SET's staff for delivering of new derivatives products.	SET (Thai), U.S. partner	The program was completed.
BMA – Thai BDC Partnership/ <i>Financial Governance</i>	To provide expert assistance form the Bond Market Association (TBMA) to hold the meetings with the Thai Bond Dealing Centre (Thai BDC) and the Thai regulators in the area of bond market development in Thailand. This is the first step of building the partnership between Thai DBC and TBMA.	To build the partnership between Thai BDC and TBMA	Thai BDC, TBMA (U.S.)	The trip has been completed
Thai Credit Bureau / <i>Financial Governance</i>	To provide financial support to the Central Credit Information Services Co., Ltd. to send Mr. Samma Kitsin, Managing Director, to attend the Second Consumer Credit Reporting World Conference held by the Associated Credit Bureau in U.S.	Better knowledge on credit bureaus	Central Information System / US Partner	The program was completed.



Projects Approved for Funding

Small Grants

Project Name / Objective	Description	Objectives/ outcomes	Partners	Status
International Pension Exchange Program/ <i>Corporate Governance</i>	To provide support to the US. experts from Pacific Pension Institute (PPI) to provide assistance to Government Pension Fund (GPF) of Thailand and to give a presentation at the "World Class Fund Management" conference held by GPF.	To educate GPF staff on pension fund management	GPF (Thai), PPI (U.S.)	The project was completed in May 2001.
Secured Transaction Law Development and Implementation/ Financial Governance	To provide financial Support to the Office of the Judiciary (OJ) to send Dr. Thammanoon Phitayaporn, to attend the International Colloquium on Secured Transactions to discuss the first preliminary draft Legislative Guide on Secured Transactions prepared by the Secretariat of the United Nations Commission on International Trade Law (UNCITRAL)	To share Thailand's views on Secured Transactions Law with international communities	OJ (Thai) UNCITRAL	Dr. Thammanoon attended the international Colloquium on Secured Transaction between March 20 – 22, 2002 in Vienna, Austria.
Thai Telecommunication Development/ <i>International Competitiveness</i>	Under BSOP support to the Post and Telegraph Department (PTD), the Communication Authority of Thailand (CAT) and the Telephone Organization of Thailand (TOT) sent the delegation to attend the "Southern Asian Telecommunications Regulatory Workshop" in Jakarta, Indonesia. the BSOP also has provided expert assistance to set up regulatory procedures for Thai National Telecommunications Commission. (NTC)	To develop regulatory procedures for Thai NTC.	PTD (Thai), CAT (Thai), TOT (Thai), U.S. expert	The project was completed.
Bankruptcy Law Reform Project/ <i>Financial Governance</i>	Under BSOP support, Mr. George M. Kelakos is to make his preliminary trip to Bangkok to discuss the American Bankruptcy Institute (ABI)'s technical assistance in the issues related to bankruptcy law reform with the Council of State (COS)	To promote bankruptcy law reform in Thailand	COS (Thai) ABI (U.S.)	Mr. George M. Kelakos, Co-Chair of International Committee, ABI, will travel to Bangkok to meet with COS in early April 2002.

Appendix B: BAAM Project Matrix



BAAM Projects Approved for Funding

Large Grants

Project Name / Objective	Description	Objectives/ Outcomes	Partners	Status
Strengthening of Sentinel Surveillance System for Antimalarial Drug Resistance in Thailand / <i>Surveillance of MDR Malaria</i>	<p>With the Malaria Division (MOPH) as focal point, the ADR surveillance network comprises malaria clinics under responsibility of regional Vector Borne Disease Control Offices 1-5, provincial and district community hospitals, and academic field research stations. Nine border provinces with high malaria incidence are selected as sentinel sites, <i>i.e.</i>, Chiang Mai, Mae Hong Son, Tak, Kanchanaburi, Ranong, Ratchaburi, Ubon Ratchathani, Chanthaburi, and Trat. Main activities are:</p> <ol style="list-style-type: none"> 1. Training on <i>in vivo</i> therapeutic efficacy assessment and <i>in vitro</i> sensitivity study; 2. <i>In vivo</i> therapeutic efficacy assessment of the first line treatment regimens; 3. <i>In vitro</i> sensitivity study of the currently used antimalarial drugs; 4. Establishment of an information center for ADR network at the Malaria Division. 	<ol style="list-style-type: none"> 1. To establish a network of sentinel surveillance sites for monitoring antimalarial drug resistance in Thailand 2. To study the current situation of antimalarial drugs in Thailand both <i>in vivo</i> and <i>in vitro</i> 3. To strengthen the cooperation among sentinel sites in country and among neighboring countries towards sharing antimalarial drug resistance information 	<ul style="list-style-type: none"> • The Malaria Division • Provincial and district hospitals • Mahidol University • Sirirach Hospital • Thammasat University • The Royal Thai Armed Forces Research Institute for Medical Science • WHO 	<p>Training completed; sentinel surveillance by <i>in vitro</i> sensitivity study of the currently used antimalarial drugs is on-going.</p> <p>WHO delayed releasing funds (from USAID) for <i>in vivo</i> therapeutic efficacy assessment until after the second peak of transmission. Thus, no <i>in vivo</i> results were produced from March 2001 to March 2002. Funds have now been released for studies starting in May.</p> <p>Results of monitoring drug resistance will be analyzed at a national seminar of the network from April 29-30, 2002 and guidelines for further monitoring and revision of drug regimens will be recommended.</p>

BAAM Projects Approved for Funding

Large Grants

Project Name / Objective	Description	Objectives/ Outcomes	Partners	Status
Partnerships for a School- based Approach to Community Action Against Malaria / <i>Effective Partnership Models</i>	<p>The project builds partnerships to develop a model for a school–based approach to community action against malaria as a key operational strategy for developing malaria knowledge, attitudes, skills and behavior necessary for community-based self-reliant action against malaria in highly endemic border. The model comprises the following key elements:</p> <ul style="list-style-type: none"> • A process for participatory learning and action against malaria by the school and community; • Use of case study approach on a whole school basis to study the local malaria situation and identify alternative solutions; • Use of life skills education methods to enhance the case study approach and develop/change behavior to prevent and reduce malaria; • Development of learning materials to support discovery learning; • Mobilization of communities for self- reliant action against malaria; • Provision of technical support to schools and communities; • Leveraging resources from local sub-district councils to support community malaria prevention and control plans and projects 	<ol style="list-style-type: none"> 1. To strengthen capacity of schools and teachers to facilitate participatory learning and action by students and communities to prevent and control malaria. 2. To raise awareness and mobilize community action to prevent and control malaria. 3. To set up an example of a working model with multi-sectoral organizations collaborating as equal stakeholders. <p>To build on lessons learned and best practices for expansion of coverage to other endemic border areas facing increasingly multi–drug resistant malaria.</p>	<ul style="list-style-type: none"> • Ministry of Education - Office of National Primary Education Commission: Office of Special Projects, Office of Supervision and Standards, Offices Provincial Primary Education Commissions in Tak, Mae Hong Son • Health Behavior Development Group, Malaria Division • Vector Borne Disease Control Offices No.1 and 2, Vector Borne Disease Control Centers and Units • The Life Skills Development Foundation • Rajabhat Institute Chiang Mai • Border Patrol Police Bureau 	<p>200 teachers, principals and education supervisors from 25 schools in 4 districts have been through 20 days of training in 4 workshops. Students completed in-depth community malaria situation assessments in March 2002 prior to the summer break. Another training will be conducted in May 2002; then students will analyze alternative actions and share with community leaders to reach consensus on action plans for community-based malaria control in June-July 2002.</p> <p>A participatory process evaluation is scheduled for September 2002.</p>

BAAM Projects Approved for Funding

Small Grants

Project Name / Objective	Description	Objectives/ Outcomes	Partners	Status
Thailand Roll Back Malaria Strategic Planning Workshop / <i>Effective Partnership Models</i>	<p>BAAM Project Coordinating Unit organized a multi-agency gathering of key stakeholders in malaria control. Working group sessions were held to obtain input on key strategies for renewed joint efforts to control malaria with special focus on border areas and multi-drug resistant malaria. Deliberations focused on the following thematic areas:</p> <ul style="list-style-type: none"> • Diagnosis, treatment, case management • Community/household prevention • Epidemiology, surveillance, mapping, GIS • Decentralization, health system reform, responsibilities, and processes for community participation • Staff capacity building and training methodologies • Participatory learning processes, and prototypes for action in target communities • International organizations/agencies and their contribution to Thailand Roll Back Malaria <p>The thematic working groups presented results of their discussions in the form of recommended strategies, activities, support needed, and potential partner agencies for comments and plenary discussion.</p>	<ol style="list-style-type: none"> 1. To seek approaches and mechanisms for mutual cooperation for more successful malaria control. 2. To provide an opportunity for all stakeholders to present experience and share ideas to move toward formulation of key joint strategies for collaboration in systematically advancing as appropriate and necessary. 	<ul style="list-style-type: none"> • MOPH central, regional, provincial • Mahidol University • Thai and US AFRIMS • Royal Project Bureau • Royal Thai Army • Border Patrol Police • Hilltribe Welfare Division, MOLSW • Office of the National Economic and Social Development Board • Office of the National Primary Education Commission • The Life Skills Dev. Foundation • IMPECT • IRC • ACTMalaria • MSF • PATH Canada • UNICEF • WHO • USAID 	<p>Workshop completed in July 2000. Participants from the organizations involved in anti-malaria efforts in Thailand were able to achieve consensus on four principal strategies for rolling back malaria in Thailand.</p> <ol style="list-style-type: none"> 1. Surveillance and response: epidemic preparedness, prevention and control 2. Early diagnosis, prompt and effective treatment 3. Household and community prevention 4. Health system reform and decentralization to support local self-reliance in malaria control 5. Collaboration and coordination with equal partnerships among local implementing agencies <p>Program strategies, operational strategies, and potential partner agencies were compiled into a national strategic framework for Roll Back Malaria in Thailand.</p>



BAAM Projects Approved for Funding

Small Grants

Project Name / Objective	Description	Objectives/ Outcomes	Partners	Status
Participatory action research planning workshop in Chantaburi Province / Effective Partnership Models	Following on focus group discussions conducted with more than 200 malaria high-risk villagers in Chantaburi Province, MOPH Vector Borne Disease Control Office No. 5 organized a planning workshop with local government officials, informal employers and high-risk forest-related occupation groups from Pong Nam Ron and Soi Dao Districts. Working groups provided input into formulation of proposals for subgrants to support development of appropriate interventions for a community-based approach to malaria control in Thai-Cambodian border areas.	<ol style="list-style-type: none"> 1. To review results of focus group discussions with malaria high-risk groups. 2. To generate input on interventions emphasizing self-reliance. 3. To identify collaborating partners and their potential roles. 	<ul style="list-style-type: none"> • MOPH VBDONo. 5, VBDC, VDDUs • Chantaburi Provincial Public Health Office • District Health Offices and Health Centers • Border Patrol Police • Royal Thai Marines • School Principals and teachers • Informal employers • High-risk groups • Village health volunteers 	Workshop completed in August 2001. Input used to formulate action research proposal planned for funding in FY 2002.
Participatory action research planning workshops in Trat Province / Effective Partnership Models	MOPH Vector Borne Disease Control Office No. 5 organized two planning workshops in Trat Province, with local government officials, informal employers, subdistrict council members, and high-risk forest-related occupation groups from Bo Rai, Muang, and Klong Yai Districts. Working groups provided input into formulation of proposals for subgrants to support development of appropriate interventions for a community-based approach to malaria control in Thai-Cambodian border areas. These workshops were followed by consultations with key officials of Vector Borne Disease Control Office No. 5 on formulating a proposal for subgrant support from BAAM.	<ol style="list-style-type: none"> 4. To review results of focus group discussions with malaria high-risk groups. 5. To generate input on interventions emphasizing self-reliance. 6. To identify collaborating partners and their potential roles. 	<ul style="list-style-type: none"> • , MOPH VBDONo. 5, VBDC, VDDUs • Chantaburi Provincial Public Health Office • District Health Offices and Health Centers • Border Patrol Police • Thai Army & Marines • School staff • Informal employers • High-risk groups • Village health volunteers 	Workshops completed in November 2001. Input used to formulate action research proposal planned for funding in FY 2002.

BAAM Projects Approved for Funding

Small Grants

Project Name / Objective	Description	Objectives/ Outcomes	Partners	Status
USAID Roll Back Malaria Partners' Meeting: Informal Consultation on Monitoring Antimalarial Drug Quality and Use Practices / <i>Surveillance of MDR Malaria</i>	BAAM Project Coordinating Unit organized a series of meetings from September 12-14, 2001 for consultations on monitoring drug quality and use practices among representatives of USAID Roll Back Malaria partners in Thailand, Cambodia, the U.S.A, and WHO.	<ol style="list-style-type: none"> 1. To identify important issues related to drug quality control and drug use practices in border areas; 2. To identify priority actions needed to initiate study and monitoring of drug quality and use practices as components of sentinel surveillance; 3. To identify potential implementing actors in study and monitoring of drug quality and use practices; 4. To identify opportunities for technical cooperation, and support needed to implement study and monitoring of drug quality and use practices. 	<ul style="list-style-type: none"> • USAID • WHO • Royal Thai Government Ministry of Public Health • Cambodian Ministry of Health • US Pharmacopeia • Management Sciences for Health • ACTMalaria • KIAAsia - BAAM PCU 	The consultation meetings are completed. The consultations resulted in identification of important issues and priority actions needed to initiate/implement study and monitoring of drug quality and drug use practices as integral components of sentinel surveillance of antimalarial drug resistance with a focus on Thailand and Cambodia.
Training of village health/malaria volunteers and sub-district council members / <i>Health System Reform – Decentralized Response Strategies and Effective Partnership Models</i>	BAAM Project Coordinating Unit collaborated with VBDO Nos. 1 and 2 to organize 2 training workshops for village health/malaria volunteers and sub-district council members on in-depth community malaria situation analysis; prevention, control and treatment of malaria; and coordinating planning for community action against malaria.	<ol style="list-style-type: none"> 1. To increase knowledge, attitudes and behavior related to malaria. 2. To understand analysis of risk and community malaria epidemiological situation. 3. To prepare for collaboration with schools in analyzing alternative actions and formulating community malaria action plans. 	<ul style="list-style-type: none"> • VBDO No. 1 and 2, VBDCs and VBDUs • Village health/malaria volunteers • Sub-district councilmen • Provincial Primary Education Offices • The Life Skills Development Foundation • BAAM PCU 	Training workshops were completed in February 2002. Volunteers and sub-district council members from communities served by 25 schools in 4 districts have increased knowledge about malaria, situation/risk analysis, and are prepared to collaborate in school-community malaria action planning workshops in July 2002.